E-CONTROL
WORKING FOR YOU – WHEREVER YOU NEED ENERGY.
A Market w/o Licence Regime –
Regulatory Experience

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Agenda

• Market Entry in Austria
  – Network
  – Supply/Trading
• The Role of Licencing
• Data Collection/ Monitoring
• Enforcement
Market Entry in Austria

• Network
  – The operation of a gas network needs the approval from the NRA
  – Personal and financial requirements are checked
  – Insurance
  – Electricity network operation is approved by regional authorities

So why isn’t this a licence?
→ It is, but it is not related to regulatory objectives and operation!
Supply/Trading

• No licencing of these activities
  – Security considerations are managed via the balancing group system (bank guarantees, etc.)
  – In some areas pure notification of this activity to the NRA
  – General terms of business of suppliers have to be approved by the NRA for customer protection purposes
The Role of Licencing Internationally

• Ensure quality of service
  – Minimum standards
• Ensure resilience in the system
  – Insurance
  – Bank guarantee
• Ensure regulatory operation
  – Data collection
  – Monitoring
  – Measures
  – Enforcement
Regulatory Operation

**Purpose**

- DATA COLLECTION
- MONITORING
- STATISTICS / PUBLICATION

**Legal Basis**

- EU LAW
- ELEC. ACT
- GAS ACT
- EMERGENCY ACT
- DCO*
- DCO*
- DCO*
- SDCO*
- SDCO*

**Legal Basis for Data Collection Ordinance**

- DCO*...DATA COLLECTION ORDINANCE
- SDCO*...DATA COLLECTION ORDINANCE FOR STATISTICAL PURPOSES BY MINISTRY
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